

# FORM 23-B

12

#### STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES Filed pursuant to Section 23 of the Securities Regulation Code

Check box if no longer subject to filing requirement

#### 1. Name and Address of Reporting Person 2. Issuer Name and Trading Symbol Relationship of Reporting Person to Issuer (Check all applicable) BARROQUILLO ERICSON HERNANDO Bank of the Philippine Islands (BPI) (Middle) (Last) (First) . Tax Identification 5. Statement for Director 10% Owner c/o Ayala North Exchange, Tower 1, Ayala Ave Number Month/Year Officer \_X\_\_\_ Other cor. Salcedo and Amorsolo Sts., (give title below) (specify below) 166-693-670 May 2023 (Street) . Citizenship If Amendment, Date of Vice President Original (MonthYear) FILIPINO Makati City, Metro Manila 1229 (Province) (Postal Code) (City) Table 1 - Equity Securities Beneficially Owned 1. Class of Equity Security 2. Transaction 4. Securities Acquired (A) or Disposed of (D) 3. Amount of Securities Owned at End 4 Ownership Form: 6. Nature of Indirect Beneficial Date of Month Direct (D) or Indirect (I) \* Ownership (Month/Day/Year) Number of Shares % Amount (A) or (D) Price **Beginning Balance** 122,103 Common shares PHP 88.79 May 5, 2023 50,000 A D N/A **Ending Balance** 172,103

(Print or Type Responses)

If the change in beneficial ownership is 50% of the previous shareholdings or is equal to 5% of the outstanding capital stock of the issuer, provide the disclosure requirements set forth on page 3 of this form.

Reminder: Report on a separate line for each class of equity securities beneficially owned directly or indirectly.

(1) A person is directly or indirectly the beneficial owner of any equity security with respect to which he has or shares:

(A) Voting power which includes the power to vote, or to direct the voting of, such security; and/or

(B) Investment power which includes the power to dispose of, or to direct the disposition of, such security.

(2) A person will be deemed to have an indirect beneficial interest in any equity security which is:

(A) held by members of a person's immediate family sharing the same household;

(B) held by a partnership in which such person is a general partner;

(C) held by a corporation of which such person is a controlling shareholder; or

(D) subject to any contract, arrangement or understanding which gives such person voting power or investment power with respect to such security.

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## Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., warrants, options, convertible securities)

| 1. Derivative Security<br>2. Conversion or<br>Exercise Price<br>of Derivative<br>Security | Exercise Price Date<br>of Derivative (Month/Di |        | Date Acquired (A) or Disposed of (D) E<br>(Month/Day/Yr) E |                  | 5. Date<br>Exercisable and<br>Expiration Date<br>(Month/Day/Year) | 6. Title and<br>Underlying : |                                  | 7. Price of<br>Derivative<br>Security | Derivative<br>Securities<br>Beneficially<br>Owned at | 9. Owner-<br>ship Form<br>of Derivative<br>Security;<br>Direct (D) | 10. Nature<br>of Indirect<br>Beneficial<br>Ownership |   |
|---|--|--------|--|------------------|---|------------------------------|----------------------------------|---------------------------------------|--|--|--|---|
|   |  | Amount | (A) or (D)   | Date Exercisable | Expiration<br>Date  | Title                        | Amount or<br>Number<br>of Shares |                                       | End of<br>Month                                      | or<br>Indirect (I) *   |  |   |
|   |  |        |  |                  |   |                              |                                  | -                                     |  |  | -  | - |
|   |  |        |  |                  |   | -                            | -                                | -                                     |  |  |  |   |
|   |  |        |  |                  |   |                              |                                  |                                       |  |  |  |   |
|   |  |        |  |                  |   |                              |                                  |                                       |  |  |  |   |
|   |  |        |  |                  |   |                              |                                  |                                       |  |  |  |   |
|   | -  |        |  |                  |   |                              |                                  |                                       |  |  | -  |   |
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|   |  |        |  |                  |   |                              | -                                |                                       |  |  |  |   |

Explanation of Responses:

Emith 5/1/23 Date

Note: File one (1) copy of this form, which must be manually signed. Attach additional sheets if space provided is insufficient.

# DISCLOSURE REQUIREMENTS IN CASE OF MATERIAL CHANGES IN BENEFICIAL OWNERSHIP (50% INCREASE/DECREASE OR EQUIVALENT TO 5% OF THE OUTSTANDING CAPITAL STOCK OF ISSUER)

# Item 1. Security and Issuer

State the title of the class of equity securities to which this Form relates and the name and address of the principal executive offices of the issuer of such securities.

## Item 2. Identity and Background

If the person filing this Form is a corporation, partnership, syndicate or other group of persons, state its name, the province, country or other place of its organization, its principal business, the address of its principal office and the information required by (d) and (e) of this Item. If the person filing this statement is a natural person, provide the information specified in (a) through (f) of this Item with respect to such person(s).

- a. Name;
- b. Residence or business address;
- c. Present principal occupation or employment and the name, principal business and address of any corporation or other organization in which such employment is conducted;
- d. Whether or not, during the last five years, such person has been convicted in a criminal proceeding (excluding traffic violations or similar misdemeanors) and, if so, give the dates, nature of conviction, name and location of court, any penalty imposed, or other disposition of the case;
- e. Whether or not, during the last five years, such person was a party to a civil proceeding of a judicial or administrative body of competent jurisdiction, domestic or foreign, and as a result of such proceeding was or is subject to any order, judgment or decree, not subsequently reversed, suspended or vacated, permanently or temporarily enjoining, barring, suspending or otherwise limiting involvement in any type of business, securities, commodities or banking; and
- f. Citizenship.

# Item 3. Purpose of Transaction

State the purpose or purposes of the acquisition of securities of the issuer. Describe any plans or proposals which the reporting persons may have which relate to or would result in:

- a. The acquisition by any person of additional securities of the issuer, or the disposition of securities of the issuer;
- b. An extraordinary corporate transaction, such as a merger, reorganization or liquidation, involving the issuer or any of its subsidiaries;
- c. A sale or transfer of a material amount of assets of the issuer or of any of its subsidiaries;
- d. Any change in the present board of directors or management of the issuer, including any plans or proposals to change the number or term of directors or to fill any existing vacancies on the board;
- e. Any material change in the present capitalization or dividend policy of the issuer;
- f. Any other material change in the issuer's business or corporate structure;
- g. Changes in the issuer's charter, bylaws or instruments corresponding thereto or other actions which may impede the acquisition of control of the issuer by any person;
- h. Causing a class of securities of the issuer to be delisted from a securities exchange;
- i. Any action similar to any of those enumerated above.

## Item 4. Interest in Securities of the Issuer

a. State the aggregate number and percentage of the class of securities identified pursuant to Item 1 beneficially owned (identifying those shares which there is a right to acquire within thirty (30) days from the date of this report) by each person named in Item 2. The abovementioned information should also be furnished with respect to persons who, together with any of the persons named in Item 2, comprise a group.

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- b. For each person named in response to paragraph (a), indicate the number of shares as to which there is sole power to vote or to direct the vote, shared power to vote or to direct the vote, sole or shared power to dispose or to direct the disposition. Provide the applicable information required by Item 2 with respect to each person with whom the power to vote or to direct the vote or to dispose or direct the disposition is shared.
- c. Describe any transaction in the class of securities reported on that were effected during the past sixty (60) days by the persons named in response to paragraph (a). The description shall include, but not necessarily be limited to: (1) the identity of the person who effected the transaction; (2) the date of the transaction; (3) the amount of securities involved; (4) the price per share or unit; and (5) where or how the transaction was effected.
- d. If any other person is known to have the right to receive or the power to direct the receipt of dividends from, or the proceeds from the sale of such securities, a statement to that effect should be included in response to this Item and, if such interest relates to more than five (5%) percent of the class, such person should be identified.
- e. If the filing is an amendment reflecting the fact that the reporting person has ceased to be the beneficial owner of more than five (5%) percent of the class of securities, state the date on which such beneficial ownership was reduced.

#### Item 5. Contracts, Arrangements, Understandings or Relationships with Respect to Securities of the Issuer

Describe any contract, arrangement, understanding or relationship among the person named in Item 2 and between such persons and any person with respect to any securities of the issue, including but not limited to transfer or voting of any of the securities, finder's fees, joint ventures, loan or option arrangements, puts or calls, guarantees of profits, division of profits or loss, or the giving or withholding of proxies, naming the person with whom such contracts, arrangements, understandings or relationships have been entered into. Include such information for any of the securities that are pledged or otherwise subject to a contingency the occurrence of which would give another person voting power or investment power over such securities except that disclosure of standard default and similar provisions contained in loan agreements need not be included.

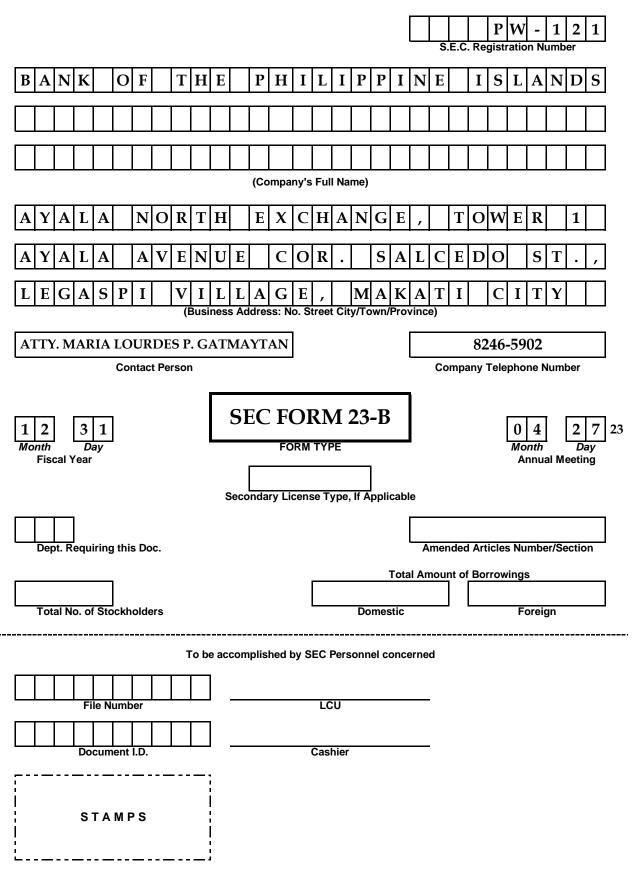
#### Item 6. Material to be Filed as Exhibits

Copies of all written agreements, contracts, arrangements, understandings, plans or proposals relating to:

- a. the acquisition of issuer control, liquidation, sale of assets, merger, or change in business or corporate structure or any other matter as disclosed in Item 3; and
- b. the transfer or voting of the securities, finder's fees, joint ventures, options, puts, calls, guarantees of loans, guarantees against losses or the giving or withholding of any proxy as disclosed in Item 5.

After reasonable inquiry and to the best of my knowledge and belief. I certify that the information set forth in this Report is true, complete and accurate. 

By: (Signature of Reporting Person) ERICSON ISAKROQUILLO, PVISION HEAP (Name/Title)



# FORM 23-B

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Check box if no longer subject to filing requirement

### STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES Filed pursuant to Section 23 of the Securities Regulation Code

1. Name and Address of Reporting Person 2. Issuer Name and Trading Symbol Relationship of Reporting Person to Issuer (Check all applicable) GASMEN DINO RUDYARDO Bank of the Philippine Islands (BPI) (Last) (First) Middle 3. Tax Identification Director 10% Owner c/o Ayala North Exchange, Tower 1, Ayala Ave Number Month/Year Officer X Other cor. Salcedo and Amorsolo Sts., 110-863-978-000 MAY 2023 (give title below) (specify below) (Street) 4. Citizenship . If Amendment, Date of SENIOR VICE PRESIDENT Original (MonthYear) Makati City, Metro Manila 1229 FILIPINO (City) (Province) (Postal Code) Table 1 - Equity Securities Beneficially Owned 1. Class of Equity Security 2. Transaction 4. Securities Acquired (A) or Disposed of (D) 3. Amount of Securities Owned at End 4 Ownership Form: 6. Nature of Indirect Beneficial Date of Month Direct (D) or Indirect (I) \* Ownership (Month/Day/Year) % Number of Shares Amount (A) or (D) Price **Beginning Balance** 249,497 Common shares May 5, 2023 PHP 88.79 95,000 A D N/A **Ending Balance** 344,497

(Print or Type Responses)

If the change in beneficial ownership is 50% of the previous shareholdings or is equal to 5% of the outstanding capital stock of the issuer, provide the disclosure requirements set forth on page 3 of this form.

Reminder: Report on a separate line for each class of equity securities beneficially owned directly or indirectly.

- (1) A person is directly or indirectly the beneficial owner of any equity security with respect to which he has or shares:
  - (A) Voting power which includes the power to vote, or to direct the voting of, such security; and/or
  - (B) Investment power which includes the power to dispose of, or to direct the disposition of, such security.

(2) A person will be deemed to have an indirect beneficial interest in any equity security which is:

(A) held by members of a person's immediate family sharing the same household;

(B) held by a partnership in which such person is a general partner;

(C) held by a corporation of which such person is a controlling shareholder; or

(D) subject to any contract, arrangement or understanding which gives such person voting power or investment power with respect to such security.

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## Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., warrants, options, convertible securities)

| 1. Derivative Security | 2. Conversion or<br>Exercise Price<br>of Derivative<br>Security | 3. Transaction<br>Date<br>(Month/Day/Yr) | <ol> <li>Number of Derivative Securities<br/>Acquired (A) or Disposed of (D)</li> </ol> |            | 5. Date<br>Exercisable and<br>Expiration Date<br>(Month/Day/Year) |                    | 6. Title and Amount of<br>Underlying Securities |                                  | 7. Price of<br>Derivative<br>Security |                 | 9. Owner-<br>ship Form<br>of Derivative<br>Security;<br>Direct (D) | 10. Nature<br>of Indirect<br>Beneficial<br>Ownership |
|------------------------|---|--|---|------------|---|--------------------|---|----------------------------------|---------------------------------------|-----------------|--|--|
| · · · ·                |   |  | Amount  | (A) or (D) | Date Exercisable  | Expiration<br>Date | Title   | Amount or<br>Number<br>of Shares | 1                                     | End of<br>Month | or<br>Indirect (I)   |  |
|                        |   |  |   |            |   |                    |   |                                  |                                       |                 |  | 1  |
|                        |   |  |   |            |   |                    |   |                                  |                                       |                 |  |  |
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|                        |   |  |   |            |   |                    |   |                                  |                                       |                 |  |  |

Explanation of Responses:

Note: File one (1) copy of this form, which must be manually signed. Attach additional sheets if space provided is insufficient.

05.03.23 Date

### DISCLOSURE REQUIREMENTS

IN CASE OF MATERIAL CHANGES IN BENEFICIAL OWNERSHIP (50% INCREASE/DECREASE OR EQUIVALENT TO 5% OF THE OUTSTANDING CAPITAL STOCK OF ISSUER)

#### Security and Issuer Item 1.

State the title of the class of equity securities to which this Form relates and the name and address of the principal executive offices of the issuer of such securities.

#### Identity and Background Item 2.

If the person filing this Form is a corporation, partnership, syndicate or other group of persons, state its name, the province, country or other place of its organization, its principal business, the address of its principal office and the information required by (d) and (e) of this Item. If the person filing this statement is a natural person, provide the information specified in (a) through (f) of this Item with respect to such person(s).

a. Name:

- b. Residence or business address;
- c. Present principal occupation or employment and the name, principal business and address of any corporation or other organization in which such employment is
- d. Whether or not, during the last five years, such person has been convicted in a criminal proceeding (excluding traffic violations or similar misdemeanors) and, if so, give the dates, nature of conviction, name and location of court, any penalty imposed, or other disposition of the case;
- e. Whether or not, during the last five years, such person was a party to a civil proceeding of a judicial or administrative body of competent jurisdiction, domestic or foreign, and as a result of such proceeding was or is subject to any order, judgment or decree, not subsequently reversed, suspended or vacated, permanently or temporarily enjoining, barring, suspending or otherwise limiting involvement in any type of business, securities, commodities or banking; and

#### f Citizenship.

#### Purpose of Transaction Item 3

State the purpose or purposes of the acquisition of securities of the issuer. Describe any plans or proposals which the reporting persons may have which relate to or would result in:

a. The acquisition by any person of additional securities of the issuer, or the disposition of securities of the issuer;

- b. An extraordinary corporate transaction, such as a merger, reorganization or liquidation, involving the issuer or any of its subsidiaries;
- c. A sale or transfer of a material amount of assets of the issuer or of any of its subsidiaries;
- d. Any change in the present board of directors or management of the issuer, including any plans or proposals to change the number or term of directors or to fill any existing vacancies on the board;
- e. Any material change in the present capitalization or dividend policy of the issuer;
- f. Any other material change in the issuer's business or corporate structure;
- g. Changes in the issuer's charter, bylaws or instruments corresponding thereto or other actions which may impede the acquisition of control of the issuer by any person;
- h. Causing a class of securities of the Issuer to be delisted from a securities exchange;
- i. Any action similar to any of those enumerated above.

#### Interest in Securities of the Issuer Item 4.

- a. State the aggregate number and percentage of the class of securities identified pursuant to Item 1 beneficially owned (identifying those shares which there is a right to acquire within thirty (30) days from the date of this report) by each person named in item 2. The abovementioned information should also be furnished with respect to persons who, together with any of the persons named in Item 2, comprise a group.
- b. For each person named in response to paragraph (a), indicate the number of shares as to which there is sole power to vote or to direct the vote, shared power to vote or to direct the vote, sole or shared power to dispose or to direct the disposition. Provide the applicable information required by Item 2 with respect to each person with whom the power to vote or to direct the vote or to dispose or direct the disposition is shared.
- c. Describe any transaction in the class of securilles reported on that were effected during the past sixty (60) days by the persons named in response to paragraph (a). The description shall include, but not necessarily be limited to: (1) the identity of the person who effected the transaction; (2) the date of the transaction; (3) the amount of securities involved; (4) the price per share or unit; and (5) where or how the transaction was effected.
- d. If any other person is known to have the right to receive or the power to direct the receipt of dividends from, or the proceeds from the sale of such securities, a ement to that effect should be included in response to this Item and, if such interest relates to more than five (5%) percent of the class, such person should be identified.
- e. If the filing is an amendment reflecting the fact that the reporting person has ceased to be the beneficial owner of more than five (5%) percent of the class of securities, state the date on which such beneficial ownership was reduced.

#### Contracts, Arrangements, Understandings or Relationships with Respect to Securities of the Issuer Item 5.

Describe any contract, arrangement, understanding or relationship among the person named in Item 2 and between such persons and any person with respect to any securities of the issue, including but not limited to transfer or voting of any of the securities, finder's fees, joint ventures, loan or option arrangements, puts or calls, guarantees of profits, division of profits or loss, or the giving or withholding of proxies, naming the person with whom such contracts, arrangements, understandings or relationships have been entered into. Include such information for any of the securities that are pledged or otherwise subject to a contingency the occurrence of which would give another person voting power or investment power over such securities except that disclosure of standard default and similar provisions contained in loan agreements need not be included.

#### Material to be Filed as Exhibits Item 6.

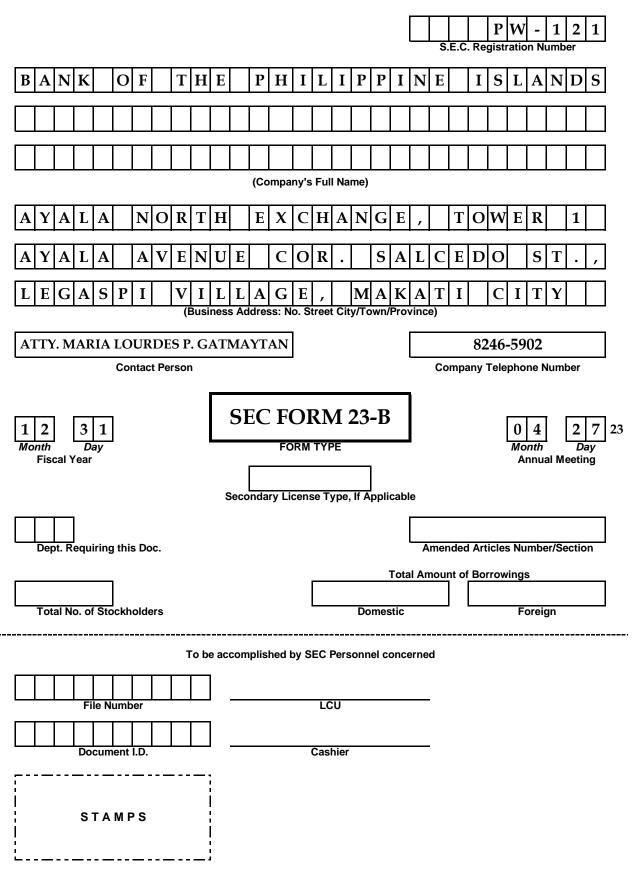
Copies of all written agreements, contracts, arrangements, understandings, plans or proposals relating to:

- a. the acquisition of issuer control, liquidation, sale of assets, merger, or change in business or corporate structure or any other matter as disclosed in Item 3; and
- b. the transfer or voting of the securities, finder's fees, joint ventures, options, puts, calls, guarantees of loans, guarantees against losses or the giving or withholding of any proxy as disclosed in Item 5.

After reasonable inquiry and to the best of my knowledge and belief, I certify that the information set forth in this Report is true, complete and accurate. 

By: ...... Alena floren (Signature of Reporting Person)

DINO R. GASMEN, SVP (Name/Title)



# FORM 23-B

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# STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES Filed pursuant to Section 23 of the Securities Regulation Code

Check box if no longer subject to filing requirement

| 2. Issuer Name and Trading  | Symbol   |  |  | <ol> <li>Relationship of Reporting Person to Issuer<br/>(Check all applicable)</li> </ol>   |   |   |  |  |  |  |  |  |
|---|--|--|--|---|---|---|--|--|--|--|--|--|
| Bank of the Philip  | ank of the Philippine Islands (BPI)<br>Tax Identification [5. Statement for  |  |  |   | Director  |   | 10% Owner  |  |  |  |  |  |
| Number  | 374  | Month/Year<br>May 2023   |  | -   | (give title belo  |   | Other<br>(specify below)   |  |  |  |  |  |
| 4. Citizenship  |  |  |  |   | VICE PR   | -<br>-  |  |  |  |  |  |  |
| FILIPIN   | 10   |  |  |   |   |   |  |  |  |  |  |  |
|   | Table 1 - Equity Securities Beneficially Owned   |  |  |   |   |   |  |  |  |  |  |  |
| Z. Transaction     4. Securities Acquired (A) or Disposed of (D)     Date |  |  |  |   | of Securities Owned at End  | 4 Ownership Form:<br>Direct (D) or Indirect (I) *   | 6. Nature of Indirect Beneficial<br>Ownership  |  |  |  |  |  |
| (Month/Day/Year)  |  |  |  | %   | Number of Shares  |   |  |  |  |  |  |  |
|   | Amount   |  | Price  | -   | 65 993  |   |  |  |  |  |  |  |
|   |  |  | 1  | -   | 00,000  |   | N/A  |  |  |  |  |  |
| May 5, 2023   | 10,000   | A  | PHP 88.79  | -   |   | U   | 19/6   |  |  |  |  |  |
| -   |  |  | 1  |   |   |   |  |  |  |  |  |  |
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|   |  |  |  | -   |   | m4 ar a   |  |  |  |  |  |  |
|   | Ending B   | alance   |  |   | 75,883  | 3   |  |  |  |  |  |  |
|   | Bank of the Philip<br>3. Tax Identification<br>Number<br>158-360-<br>4. Citizenship<br>FILIPIN<br>2. Transaction<br>Date<br>(Month/Day/Year) | Tax Identification     Number     158-360-374      Citizenship     FILIPINO      Citizenship     FILIPINO      Amount     Beginning I     May 5, 2023     10,000 | Bank of the Philippine Islands (BPI)         3. Tax Identification<br>Number       5. Statement for<br>Month/Year         158-360-374       Ma         4. Citizenship       6. If Amendment<br>Original (Month         FILIPINO       0. If Amendment<br>Original (Month         2. Transaction<br>Date<br>(Month/Day/Year)       4. Securities Acquired (A) or Disposed<br>Amount         Beginning Balance | Bank of the Philippine Islands (BPI)         3. Tax Identification       5. Statement for         Number       Month/Year         158-360-374       May 2023         4. Citizenship       6. If Amendment, Date of Original (MonthYear)         FILIPINO       Table 1 - Equil         2. Transaction Date (Month/Day/Year)       4. Securities Acquired (A) or Disposed of (D)         Amount       (A) or (D)       Price         Beginning Balance       10,000       A         May 5, 2023       10,000       A         Image: A state of the price of the | 2. Issuer Name and Houng Of Modified         Bank of the Philippine Islands (BPI)         3. Tax Identification         Number         158-360-374         May 2023         4. Citizenship         6. If Amendment, Date of Original (MonthYear)         FILIPINO         Table 1 - Equity Securitie         Amount (A) or Disposed of (D)         3. Amount (A) or (D)         Price         Beginning Balance         May 5, 2023         10,000       A         PHP 88.79         Amount         Amount         Amount         Amount         Amount         Beginning Balance         Amount         Amount | 2. Issuer varie and risking officer         Bank of the Philippine Islands (BPI)         3. Tax Identification         Number         158-360-374         May 2023         4. Citizenship         6. If Amendment, Date of Original (MonthYear)         FILIPINO         Table 1 - Equity Securities Beneficially Owned         2. Transaction Date (Month/Day/Year)         Amount       (A) or (D)         Amount       (A) or (D)         Price       9%         Number of Shares         May 5, 2023       10,000         Amount       Amount         Beginning Balance       Amount         Amount       Amount         Amount       Amount         Amount       Amount         Amount       Amount         Amount | 2. Issuer Name and Holing Ontool       (Check all applicable)         Bank of the Philippine Islands (BPI)       Director         3. Tax Identification       5. Statement for         Number       Month/Year         158-360-374       May 2023         4. Citizenship       6. If Amendment, Date of Original (MonthYear)       VICE PRESIDENT         FILIPINO       Table 1 - Equity Securities Beneficially Owned         Uncerties Acquired (A) or Disposed of (D)         3. Amount of Securities Owned at End 4. Ownership Form: Uncet (U) or Indirect (I) *         Month/Day/Year)       Amount       (A) or (D)         Price       56       Number of Shares         May 5, 2023       10,000       A       PHP 88.79       D         May 5, 2023       10,000       A       PHP 88.79       D |  |  |  |  |  |

(Print or Type Responses)

If the change in beneficial ownership is 50% of the previous shareholdings or is equal to 5% of the outstanding capital stock of the issuer, provide the disclosure requirements set forth on page 3 of this form.

Reminder: Report on a separate line for each class of equity securities beneficially owned directly or indirectly.

- (1) A person is directly or indirectly the beneficial owner of any equity security with respect to which he has or shares:
  - (A) Voting power which includes the power to vote, or to direct the voting of, such security; and/or
     (B) Investment power which includes the power to dispose of, or to direct the disposition of, such security.
- (B) Investment power which includes the power to dispose or, or to direct the disposition or,
   (2) A person will be deemed to have an indirect beneficial interest in any equity security which is:
  - (A) held by members of a person's immediate family sharing the same household;
  - (B) held by a partnership in which such person is a general partner;
  - (C) held by a corporation of which such person is a controlling shareholder, or

5 ARIEL M. IRINGAN **Area Business Director** 

### Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., warrants, options, convertible securities)

| 1. Derivative Security | 2. Conversion or<br>Exercise Price<br>of Derivative<br>Security | Exercise Price Date<br>of Derivative (Month/Day/Yr) |            | <ol> <li>Number of Derivative Securities<br/>Acquired (A) or Disposed of (D)</li> </ol> |                    | 5. Date<br>Exercisable and<br>Expiration Date<br>(Month/Day/Year) |                                  | 6. Title and Amount of<br>Underlying Securities |                 | 8. No. of<br>Derivative<br>Securities<br>Beneficially<br>Owned at | 9. Owner-<br>ship Form<br>of Derivative<br>Security;<br>Direct (D) | 10. Nature<br>of Indirect<br>Beneficial<br>Ownership |
|------------------------|---|---|------------|---|--------------------|---|----------------------------------|---|-----------------|---|--|--|
|                        |   | Amount  | (A) or (D) | Date Exercisable  | Expiration<br>Date | Title   | Amount or<br>Number<br>of Shares |   | End of<br>Month | or<br>Indirect (I) =  |  |  |
| NA                     |   |   |            |   |                    |   |                                  |   |                 |   |  |  |
|                        |   |   |            |   |                    |   |                                  |   |                 |   |  |  |
|                        |   |   |            |   | -                  |   | -                                |   |                 |   |  |  |
|                        |   |   |            |   | -                  |   |                                  | -   |                 | -   |  |  |
|                        |   |   |            |   |                    | -   | -                                | -   | -               |   |  |  |
|                        |   |   |            |   | -                  |   | -                                |   | -               |   | -  |  |
|                        |   |   |            |   |                    |   | -                                |   |                 |   | 1  |  |
|                        |   |   |            |   |                    |   |                                  |   |                 |   |  |  |
|                        |   |   |            |   |                    |   |                                  |   |                 |   |  |  |
|                        |   |   |            |   |                    |   |                                  |   |                 |   |  |  |

Explanation of Responses:

Note: File one (1) copy of this form, which must be manually signed. Attach additional sheets if space provided is insufficient.

5/3/20/23 Date

1

ARIEL M. IRINGAN Area Business Director

# DISCLOSURE REQUIREMENTS IN CASE OF MATERIAL CHANGES IN BENEFICIAL OWNERSHIP (50% INCREASE/DECREASE OR EQUIVALENT TO 5% OF THE OUTSTANDING CAPITAL STOCK OF ISSUER)

# Security and Issuer

State the title of the class of equity securities to which this Form relates and the name and address of the principal executive offices of the issuer of such securities.

# Identity and Background

If the person filing this Form is a corporation, partnership, syndicate or other group of persons, state its name, the province, country or other place of its organization, its principal business, the address of its principal office and the information required by (d) and (e) of this Item. If the person filing this statement is a natural person, provide the information specified in (a) through (f) of this Item with respect to such person(s). Name:

# Residence or business address;

Present principal occupation or employment and the name, principal business and address of any corporation or other organization in which such employment is conducted;

Whether or not, during the last five years, such person has been convicted in a criminal proceeding (excluding traffic violations or similar misdemeanors) and, if so, give the dates, nature of conviction, name and location of court, any penalty imposed, or other disposition of the case;

Whether or not, during the last five years, such person was a party to a civil proceeding of a judicial or administrative body of competent jurisdiction, domestic or foreign, and as a result of such proceeding was or is subject to any order, judgment or decree, not subsequently reversed, suspended or vacated, permanently or temporarily enjoining, barring, suspending or otherwise limiting involvement in any type of business, securities, commodities or banking; and Citizenship.

# **Purpose of Transaction**

State the purpose or purposes of the acquisition of securities of the issuer. Describe any plans or proposals which the reporting persons may have which relate to or would result in:

The acquisition by any person of additional securities of the issuer, or the disposition of securities of the issuer;

An extraordinary corporate transaction, such as a merger, reorganization or liquidation, involving the issuer or any of its subsidiaries;

A sale or transfer of a material amount of assets of the issuer or of any of its subsidiaries;

Any change in the present board of directors or management of the issuer, including any plans or proposals to change the number or term of directors or to fill any existing vacancies on the board;

Any material change in the present capitalization or dividend policy of the issuer;

Any other material change in the issuer's business or corporate structure;

Changes in the issuer's charter, bylaws or instruments corresponding thereto or other actions which may impede the acquisition of control of the issuer by any person;

Causing a class of securities of the issuer to be delisted from a securities exchange;

Any action similar to any of those enumerated above.

# Interest in Securities of the Issuer

State the aggregate number and percentage of the class of securities identified pursuant to Item 1 beneficially owned (identifying those shares which there is a right to acquire within thirty (30) days from the date of this report) by each person named in Item 2. The abovementioned information should also be furnished with respect to persons who, together with any of the persons named in Item 2, comprise a group.

ARIEL M. IRINGAN Area Business Director

For each person named in response to paragraph (a), indicate the number of shares as to which there is sole power to vote or to direct the vote, shared power to vote or to direct the vote, sole or shared power to dispose or to direct the disposition. Provide the applicable information required by Item 2 with respect to each person with whom the power to vote or to direct the vote or to dispose or direct the disposition is shared.

Describe any transaction in the class of securities reported on that were effected during the past sixty (60) days by the persons named in response to paragraph (a). The description shall include, but not necessarily be limited to: (1) the identity of the person who effected the transaction; (2) the date of the transaction; (3) the amount of securities involved; (4) the price per share or unit; and (5) where or how the transaction was effected.

If any other person is known to have the right to receive or the power to direct the receipt of dividends from, or the proceeds from the sale of such securities, a statement to that effect should be included in response to this Item and, if such interest relates to more than five (5%) percent of the class, such person should be identified.

If the filing is an amendment reflecting the fact that the reporting person has ceased to be the beneficial owner of more than five (5%) percent of the class of securities, state the date on which such beneficial ownership was reduced.

# Contracts, Arrangements, Understandings or Relationships with Respect to Securities of the Issuer

Describe any contract, arrangement, understanding or relationship among the person named in Item 2 and between such persons and any person with respect to any securities of the issue, including but not limited to transfer or voting of any of the securities, finder's fees, joint ventures, loan or option arrangements, puts or calls, guarantees of profits, division of profits or loss, or the giving or withholding of proxies, naming the person with whom such contracts, arrangements, understandings or relationships have been entered into. Include such information for any of the securities that are pledged or otherwise subject to a contingency the occurrence of which would give another person voting power or investment power over such securities except that disclosure of standard default and similar provisions contained in loan agreements need not be included.

# Material to be Filed as Exhibits

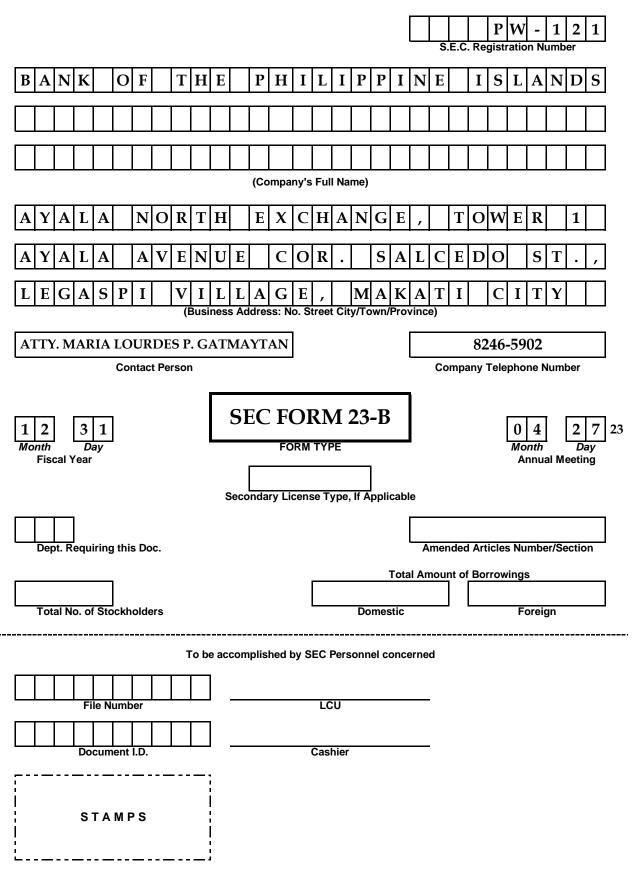
Copies of all written agreements, contracts, arrangements, understandings, plans or proposals relating to:

the acquisition of issuer control, liquidation, sale of assets, merger, or change in business or corporate structure or any other matter as disclosed in Item 3; and the transfer or voting of the securities, finder's fees, joint ventures, options, puts, calls, guarantees of loans, guarantees against losses or the giving or withholding of any proxy as disclosed in Item 5.

Area Business Director

......

(Name/Title)



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FORM 23-B

5,

REVISED

12

11

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES Filed pursuant to Section 23 of the Securities Regulation Code

Check box if no longer subject to filing requirement

| 1. Name and Address of Reporting Person  | 2. Issuer Name and Tradin | g Symbol                            |         |           | 7. Relationship of Reporting Person to Issuer<br>(Check all applicable) |                  |   |   |  |  |  |  |
|--|---------------------------|-------------------------------------|---------|-----------|---|------------------|---|---|--|--|--|--|
| LEE EUGENE ONG<br>(Last) (First) (Middle)  | Bank of the Philip        | opine Islands                       | (BPI)   |           |   | Director         |   | 10% Owner                                     |  |  |  |  |
| (Last) (Hirst) (Middle)<br>c/o Ayala North Exchange, Tower 1, Ayala Ave<br>cor. Salcedo and Amorsolo Sts., | Number                    | Month/Year<br>912381018000 May 2023 |         |           |   |                  | low)  | Other<br>(specify below)                      |  |  |  |  |
| (Street)   | 4. Citizenship            |                                     |         |           |   | Vice P           | -   |   |  |  |  |  |
| Makati City, Metro Manila 1229   | FILIPIN                   | 10                                  |         |           |   |                  |   |   |  |  |  |  |
| (City) (Province) (Postal Code)  |                           |                                     |         |           |   |                  |   |   |  |  |  |  |
| 1. Class of Equity Security  | 2. Transaction<br>Date    |                                     |         |           |   |                  | 4 Ownership Form:<br>Direct (D) or indirect (I) | 6. Nature of Indirect Beneficial<br>Ownership |  |  |  |  |
|  | (Month/Day/Year)          | Amount (A) or (D) Price             |         |           | %   | Number of Shares |   |   |  |  |  |  |
|  |                           | Beginning                           |         |           |   | 126,432          |   |   |  |  |  |  |
| Common shares  | May 5, 2023               | -                                   | -       | PHP 88.79 |   |                  | D   | N/A   |  |  |  |  |
|  |                           |                                     |         |           | -   |                  |   |   |  |  |  |  |
|  | 1                         |                                     |         |           |   |                  |   |   |  |  |  |  |
|  |                           |                                     |         |           |   |                  |   |   |  |  |  |  |
|  |                           |                                     |         | -         | -   |                  | 0   |   |  |  |  |  |
|  |                           |                                     | 1.3.5   |           |   |                  |   |   |  |  |  |  |
|  |                           | Ending E                            | Balance |           |   | 176,432          |   |   |  |  |  |  |

(Print or Type Responses)

If the change in beneficial ownership is 50% of the previous shareholdings or is equal to 5% of the outstanding capital stock of the issuer, provide the disclosure requirements set forth on page 3 of this form.

Reminder: Report on a separate line for each class of equity securities beneficially owned directly or indirectly.

(1) A person is directly or indirectly the beneficial owner of any equity security with respect to which he has or shares:

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 $X_{i}^{(n)}$ 

- (A) Voting power which includes the power to vote, or to direct the voting of, such security; and/or
- (B) Investment power which includes the power to dispose of, or to direct the disposition of, such security.
- (2) A person will be deemed to have an indirect beneficial interest in any equity security which is:
   (A) held by members of a person's immediate family sharing the same household;

  - (B) held by a partnership in which such person is a general partner;
  - (C) held by a corporation of which such person is a controlling shareholder, or
  - (D) subject to any contract, arrangement or understanding which gives such person voting power or investment power with respect to such security.

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## Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., warrants, options, convertible securities)

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|     |     | e Price Date Acquired (A) or Disposed of (D)<br>ative (Month/Day/Yr) |         | 5. Date<br>Exercisable and<br>Expiration Date<br>(Month/Day/Year) | 6. Title and<br>Underlying |                    | 7. Price of<br>Derivative<br>Security | 8. No. of<br>Derivative<br>Securities<br>Beneficially<br>Owned at | 9. Owner-<br>ship Form<br>of Derivative<br>Security;<br>Direct (D) | 10. Nature<br>of Indirect<br>Beneficial<br>Ownership |                      |   |
|-----|-----|--|---------|---|----------------------------|--------------------|---------------------------------------|---|--|--|----------------------|---|
|     |     |  | Amount  | (A) or (D)  | Date Exercisable           | Expiration<br>Date | Title                                 | Amount or<br>Number<br>of Shares                                  |  | End of<br>Month                                      | or<br>Inairect (I) * |   |
| N/A | N/A | N/A  | N/A N/A | N/A   | N/A N/A                    |                    | N/A                                   | N/A   | N/A  | N/A  | N/A                  |   |
|     |     |  |         |   |                            |                    |                                       |   |  |  |                      |   |
|     |     |  |         |   |                            |                    |                                       |   |  |  |                      |   |
|     |     |  |         |   |                            |                    | -                                     |   |  |  |                      | - |
|     |     |  |         |   |                            |                    | -                                     |   |  |  | -                    |   |
|     |     |  |         |   |                            |                    |                                       |   |  |  |                      |   |
|     |     |  |         |   |                            |                    |                                       | -   |  |  |                      | 1 |

Explanation of Responses:

Jecces \_\_\_\_\_

Note: File one (1) copy of this form, which must be manually signed. Attach additional sheets if space provided is insufficient.

# DISCLOSURE REQUIREMENTS

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# IN CASE OF MATERIAL CHANGES IN BENEFICIAL OWNERSHIP

(50% INCREASE/DECREASE OR EQUIVALENT TO 5% OF THE OUTSTANDING CAPITAL STOCK OF ISSUER)

# Item 1. Security and Issuer

State the title of the class of equity securities to which this Form relates and the name and address of the principal executive offices of the issuer of such securities.

10

# Item 2. Identity and Background

If the person filing this Form is a corporation, partnership, syndicate or other group of persons, state its name, the province, country or other place of its organization, its principal business, the address of its principal office and the information required by (d) and (e) of this Item. If the person filing this statement is a natural person, provide the information specified in (a) through (f) of this Item with respect to such person(s).

- a. Name;
- b. Residence or business address;
- c. Present principal occupation or employment and the name, principal business and address of any corporation or other organization in which such employment is conducted:
- d. Whether or not, during the last five years, such person has been convicted in a criminal proceeding (excluding traffic violations or similar misdemeanors) and, if so, give the dates, nature of conviction, name and location of court, any penalty imposed, or other disposition of the case;
- Whether or not, during the last five years, such person was a party to a civil proceeding of a judicial or administrative body of competent jurisdiction, domestic or foreign, and as a result of such proceeding was or is subject to any order, judgment or decree, not subsequently reversed, suspended or vacated, permanently or temporarily enioining, barring, suspending or otherwise limiting involvement in any type of business, securities, commodities or banking; and
   f. Citizenship.

## Item 3. Purpose of Transaction

State the purpose or purposes of the acquisition of securities of the issuer. Describe any plans or proposals which the reporting persons may have which relate to or would result in:

- a. The acquisition by any person of additional securities of the issuer, or the disposition of securities of the issuer;
- b. An extraordinary corporate transaction, such as a merger, reorganization or liquidation, involving the issuer or any of its subsidiaries;
- c. A sale or transfer of a material amount of assets of the issuer or of any of its subsidiaries;
- d. Any change in the present board of directors or management of the issuer, including any plans or proposals to change the number or term of directors or to fill any existing vacancies on the board;
- e. Any material change in the present capitalization or dividend policy of the issuer;
- f. Any other material change in the issuer's business or corporate structure;
- g. Changes in the issuer's charter, bylaws or instruments corresponding thereto or other actions which may impede the acquisition of control of the issuer by any person;
- h. Causing a class of securities of the issuer to be delisted from a securities exchange;
- i. Any action similar to any of those enumerated above.

## Item 4. Interest in Securities of the Issuer

a. State the aggregate number and percentage of the class of securities identified pursuant to Item 1 beneficially owned (identifying those shares which there is a right to acquire within thirty (30) days from the date of this report) by each person named in Item 2. The abovementioned information should also be furnished with respect to persons who, together with any of the persons named in Item 2. comprise a group.

- b. For each person named in response to paragraph (a), indicate the number of shares as to which there is sole power to vote or to direct the vote, shared power to vote or to direct the vote, sole or shared power to dispose or to direct the disposition. Provide the applicable information required by Item 2 with respect to each person with whom the power to vote or to direct the vote or to dispose or dispose or direct the disposition is shared.
- c. Describe any transaction in the class of securities reported on that were effected during the past sixty (60) days by the persons named in response to paragraph (a). The description shall include, but not necessarily be limited to: (1) the identity of the person who effected the transaction; (2) the date of the transaction; (3) the amount of securities involved: (4) the price per share or unit: and (5) where or how the transaction was effected.
- d. If any other person is known to have the right to receive or the power to direct the receipt of dividends from, or the proceeds from the sale of such securities, a statement to that effect should be included in response to this Item and, if such interest relates to more than five (5%) percent of the class, such person should be identified.
- e. If the filing is an amendment reflecting the fact that the reporting person has ceased to be the beneficial owner of more than five (5%) percent of the class of securities, state the date on which such beneficial ownership was reduced.

# Item 5. Contracts, Arrangements, Understandings or Relationships with Respect to Securities of the Issuer

Describe any contract, arrangement, understanding or relationship among the person named in Item 2 and between such persons and any person with respect to any securities of the issue, including but not limited to transfer or voting of any of the securities, finder's fees, joint ventures, loan or option arrangements, puts or calls, guarantees of profits, division of profits or loss, or the giving or withholding of proxies, naming the person with whom such contracts, arrangements, understandings or relationships have been entered into. Include such information for any of the securities that are pledged or otherwise subject to a contingency the occurrence of which would give another person voting power or investment power over such securities except that disclosure of standard default and similar provisions contained in loan agreements need not be included.

# Item 6. Material to be Filed as Exhibits

Copies of all written agreements, contracts, arrangements, understandings, plans or proposals relating to:

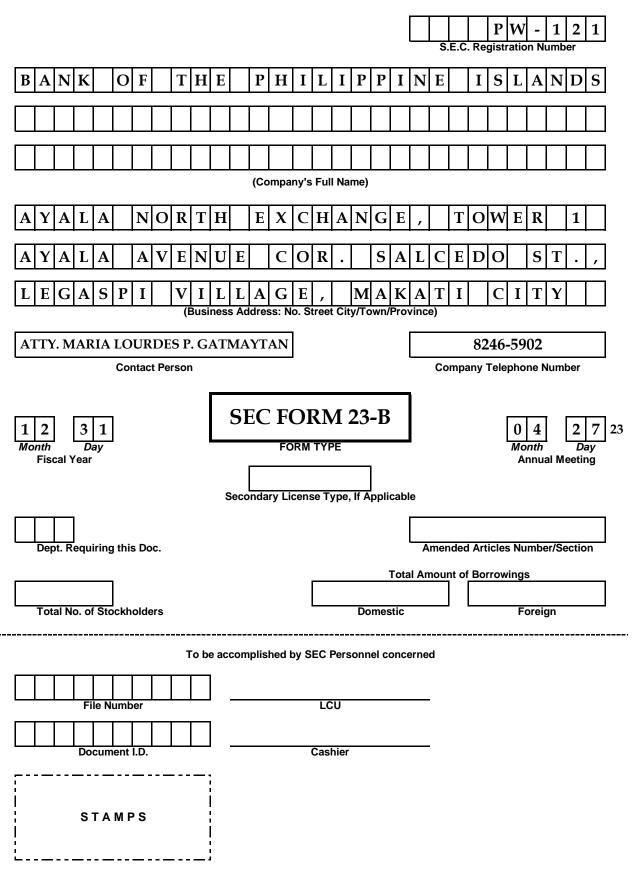
- a. the acquisition of issuer control, liquidation, sale of assets, merger, or change in business or corporate structure or any other matter as disclosed in Item 3; and
- b. the transfer or voting of the securities, finder's fees, joint ventures, options, puts, calls, guarantees of loans, guarantees against losses or the giving or withholding of any proxy as disclosed in Item 5.

After reasonable inquiry and to the best of my knowledge and belief, I certify that the information set forth in this Report is true, complete and accurate. This report is signed in the City of Makati on May 2, 2023.

By: ..... 

(Signature of Reporting Person)

EUGENE O. LEE / VP (Name/Title)



# FORM 23-B

REVISED

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES Filed pursuant to Section 23 of the Securities Regulation Code

Check box if no longer subject to filing requirement

| 1. Name and Address of Reporting Person  | 2. Issuer Name and Trading Symbol   |                         |                                      |               |                       | <ol> <li>Relationship of Reporting Person to Issuer<br/>(Check all applicable)</li> </ol> |   |   |  |  |  |  |  |
|--|---|-------------------------|--------------------------------------|---------------|-----------------------|---|---|---|--|--|--|--|--|
| MERCADO, ARLENE DAVID<br>(Last) (First) (Middle)   | Bank of the Phili   | ppine Island            |                                      |               |                       |   |   | 101 0   |  |  |  |  |  |
| (Last) (First) (Middle)<br>c/o Ayala North Exchange, Tower 1, Ayala Ave<br>cor. Salcedo and Amorsolo Sts., | Number<br>157-954-2   | 85-000                  | 5. Statement for<br>Month/Year<br>Ma | ay 2023       |                       | Director<br>X Officer<br>(give title below  | )   | 10% Owner<br>Other<br>(specify below)         |  |  |  |  |  |
| (Street)   | 4. Citizenship 6. If Amendm<br>Original (M                                |                         |                                      |               |                       | VICE PR   | -   |   |  |  |  |  |  |
| Makati City, Metro Manila 1229   | FILIPI  | OV                      |                                      |               |                       |   |   |   |  |  |  |  |  |
| (City) (Province) (Postal Code)  |   |                         |                                      | Table 1 - Equ | ity Securitie         | s Beneficially Owned  |   |   |  |  |  |  |  |
| 1. Class of Equity Security  | 2. Transaction     Date     4. Securities Acquired (A) or Disposed of (D) |                         |                                      |               | 3. Amount<br>of Month | of Securities Owned at End  | 4 Ownership Form:<br>Direct (D) or indirect (1) ^ | 6. Nature of Indirect Beneficial<br>Ownership |  |  |  |  |  |
|  | (Month/Day/Year)  | Amount (A) or (D) Price |                                      |               | %                     | Number of Shares  | 1   |   |  |  |  |  |  |
|  |   | Beginning               |                                      | Price         | -                     | 16,656  |   |   |  |  |  |  |  |
| Common shares  | May 5, 2023   |                         | -                                    | PHP 88.79     | -                     | 10,000  | D   | N/A   |  |  |  |  |  |
|  |   |                         |                                      |               |                       |   |   |   |  |  |  |  |  |
|  |   |                         |                                      |               |                       |   |   |   |  |  |  |  |  |
|  |   |                         |                                      |               |                       |   |   |   |  |  |  |  |  |
|  |   |                         |                                      |               |                       |   |   |   |  |  |  |  |  |
|  |   |                         |                                      |               |                       |   |   |   |  |  |  |  |  |
|  |   |                         |                                      |               |                       |   |   |   |  |  |  |  |  |
|  | 1   |                         |                                      | 1             |                       |   |   |   |  |  |  |  |  |
|  |   | Ending E                | lalance                              |               |                       | 36656   |   | Contraction of the second                     |  |  |  |  |  |

(Print or Type Responses)

If the change in beneficial ownership is 50% of the previous shareholdings or is equal to 5% of the outstanding capital stock of the issuer, provide the disclosure requirements set forth on page 3 of this form.

Reminder: Report on a separate line for each class of equity securities beneficially owned directly or indirectly.

- (1) A person is directly or indirectly the beneficial owner of any equity security with respect to which he has or shares:
  - (A) Voting power which includes the power to vote, or to direct the voting of, such security; and/or

(B) Investment power which includes the power to dispose of, or to direct the disposition of, such security.

(2) A person will be deemed to have an indirect beneficial interest in any equity security which is: (A) held by members of a person's immediate family sharing the same household;

(B) held by a partnership in which such person is a general partner;

(C) held by a corporation of which such person is a controlling shareholder; or

## Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., warrants, options, convertible securities)

| 1. Derivative Security | 2. Conversion or 3<br>Exercise Price<br>of Derivative (I<br>Security | 3. Transaction<br>Date<br>(Month/Day/Yr) | <ol> <li>Number of Derivative Securities<br/>Acquired (A) or Disposed of (D)</li> </ol> |            | 5. Date<br>Exercisable and<br>Expiration Date<br>(Month/Day/Year) | <ol> <li>Title and Amount of<br/>Underlying Securities</li> </ol> |       | 7. Price of<br>Derivative<br>Security | 8. No. of<br>Derivative<br>Securities<br>Beneficially<br>Owned at | 9. Owner-<br>ship Form<br>of Derivative<br>Security;<br>Direct (D) | 10. Nature<br>of Indirect<br>Beneficial<br>Ownership |  |
|------------------------|--|--|---|------------|---|---|-------|---------------------------------------|---|--|--|--|
|                        |  |  | Amount  | (A) or (D) | Date Exercisable  | Expiration<br>Date  | Title | Arnount or<br>Number<br>of Shares     |   | End of<br>Month  | or<br>Indirect (I)                                   |  |
|                        |  |  |   |            |   |   |       |                                       |   |  |  |  |
|                        |  |  |   |            |   |   |       |                                       |   |  |  |  |
|                        |  |  |   |            |   |   |       |                                       |   |  |  |  |
|                        |  |  |   |            |   |   |       |                                       |   |  |  |  |
|                        |  |  |   |            |   |   |       |                                       |   |  |  |  |
|                        |  |  |   |            |   |   |       |                                       |   |  |  |  |
|                        |  | -  |   |            |   |   |       |                                       |   |  |  |  |
|                        |  |  |   |            |   |   |       |                                       |   |  |  |  |
|                        |  |  |   |            |   |   |       |                                       |   |  |  |  |
|                        |  |  |   |            |   |   |       |                                       |   |  |  |  |
|                        |  |  |   |            |   |   |       |                                       |   |  |  |  |

Explanation of Responses:

Note: File one (1) copy of this form, which must be manually signed. Attach additional sheets if space provided is insufficient. Date

for

# DISCLOSURE REQUIREMENTS IN CASE OF MATERIAL CHANGES IN BENEFICIAL OWNERSHIP (50% INCREASE/DECREASE OR EQUIVALENT TO 5% OF THE OUTSTANDING CAPITAL STOCK OF ISSUER)

## Item 1. Security and Issuer

State the title of the class of equity securities to which this Form relates and the name and address of the principal executive offices of the issuer of such securities.

## Item 2. Identity and Background

If the person filing this Form is a corporation, partnership, syndicate or other group of persons, state its name, the province, country or other place of its organization, its principal business, the address of its principal office and the information required by (d) and (e) of this Item. If the person filing this statement is a natural person, provide the information specified in (a) through (f) of this Item with respect to such person(s).

- a. Name;
- b. Residence or business address;
- c. Present principal occupation or employment and the name, principal business and address of any corporation or other organization in which such employment is conducted;
- d. Whether or not, during the last five years, such person has been convicted in a criminal proceeding (excluding traffic violations or similar misdemeanors) and, if so, give the dates, nature of conviction, name and location of court, any penalty imposed, or other disposition of the case;
- e. Whether or not, during the last five years, such person was a party to a civil proceeding of a judicial or administrative body of competent jurisdiction, domestic or foreign, and as a result of such proceeding was or is subject to any order, judgment or decree, not subsequently reversed, suspended or vacated, permanently or temporarily enjoining, barring, suspending or otherwise limiting involvement in any type of business, securities, commodities or banking; and
- f. Citizenship.

## Item 3. Purpose of Transaction

State the purpose or purposes of the acquisition of securities of the issuer. Describe any plans or proposals which the reporting persons may have which relate to or would result in:

- a. The acquisition by any person of additional securities of the issuer, or the disposition of securities of the issuer;
- b. An extraordinary corporate transaction, such as a merger, reorganization or liquidation, involving the issuer or any of its subsidiaries;
- c. A sale or transfer of a material amount of assets of the issuer or of any of its subsidiaries;
- d. Any change in the present board of directors or management of the issuer, including any plans or proposals to change the number or term of directors or to fill any existing vacancies on the board;
- e. Any material change in the present capitalization or dividend policy of the issuer;
- f. Any other material change in the issuer's business or corporate structure;
- g. Changes in the issuer's charter, bylaws or instruments corresponding thereto or other actions which may impede the acquisition of control of the issuer by any person;
- h. Causing a class of securities of the issuer to be delisted from a securities exchange;
- i. Any action similar to any of those enumerated above.

### Item 4. Interest in Securities of the Issuer

a. State the aggregate number and percentage of the class of securities identified pursuant to Item 1 beneficially owned (identifying those shares which there is a right to acquire within thirty (30) days from the date of this report) by each person named in Item 2. The abovementioned information should also be furnished with respect to persons who, together with any of the persons named in Item 2, comprise a group.

- b. For each person named in response to paragraph (a), indicate the number of shares as to which there is sole power to vote or to direct the vote, shared power to vote or to direct the vote, sole or shared power to dispose or to direct the disposition. Provide the applicable information required by Item 2 with respect to each person with whom the power to vote or to direct the vote or to dispose or direct the disposition is shared.
- c. Describe any transaction in the class of securities reported on that were effected during the past sixty (60) days by the persons named in response to paragraph (a). The description shall include, but not necessarily be limited to: (1) the identity of the person who effected the transaction; (2) the date of the transaction; (3) the amount of securities involved; (4) the price per share or unit; and (5) where or how the transaction was effected.
- d. If any other person is known to have the right to receive or the power to direct the receipt of dividends from, or the proceeds from the sale of such securities, a statement to that effect should be included in response to this Item and, if such interest relates to more than five (5%) percent of the class, such person should be identified.
- e. If the filing is an amendment reflecting the fact that the reporting person has ceased to be the beneficial owner of more than five (5%) percent of the class of securities, state the date on which such beneficial ownership was reduced.

### Item 5. Contracts, Arrangements, Understandings or Relationships with Respect to Securities of the Issuer

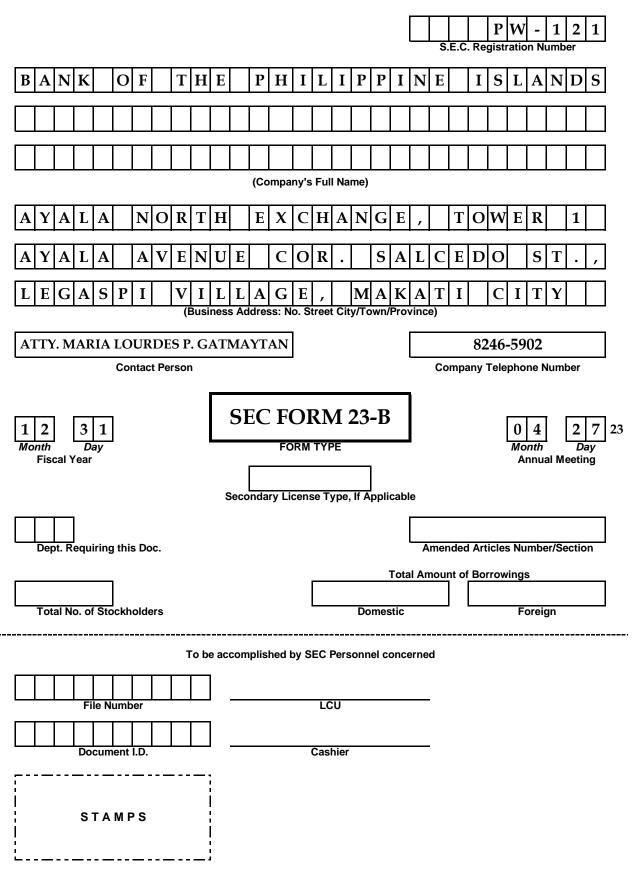
Describe any contract, arrangement, understanding or relationship among the person named in Item 2 and between such persons and any person with respect to any securities of the issue, including but not limited to transfer or voting of any of the securities, finder's fees, joint ventures, loan or option arrangements, puts or calls, guarantees of profits, division of profits or loss, or the giving or withholding of proxies, naming the person with whom such contracts, arrangements, understandings or relationships have been entered into. Include such information for any of the securities that are pledged or otherwise subject to a contingency the occurrence of which would give another person voting power or investment power over such securities except that disclosure of standard default and similar provisions contained in loan agreements need not be included.

## Item 6. Material to be Filed as Exhibits

Copies of all written agreements, contracts, arrangements, understandings, plans or proposals relating to:

- a. the acquisition of issuer control, liquidation, sale of assets, merger, or change in business or corporate structure or any other matter as disclosed in Item 3; and
- b. the transfer or voting of the securities, finder's fees, joint ventures, options, puts, calls, guarantees of loans, guarantees against losses or the giving or withholding of any proxy as disclosed in Item 5.

(Signature of Reporting Person) ARLENE D. MERGADO, VP



# FORM 23-B

REVISED

Check box if no longer subject to filing requirement

### STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES Filed pursuant to Section 23 of the Securities Regulation Code

| 1. Name and Address of Reporting Person      | 2. Issuer Name and Trading Symbol                                    |              |                  |                |                       | ship of Reporting Person to I | suer<br>(Check all applicable)                    |   |
|--|--|--------------|------------------|----------------|-----------------------|-------------------------------|---|---|
| Tan Janice Uy                                | Bank of the Philip   | pine Islands |                  |                |                       |                               |   |   |
| (Last) (First) (Middle)                      | 3. Tax Identification  |              | 5. Statement for |                | -                     | Director                      |   | 10% Owner                                     |
| c/o Ayala North Exchange, Tower 1, Ayala Ave | Number   |              | Month/Year       |                | _                     | X Officer                     |   | Other   |
| cor. Salcedo and Amorsolo Sts.,              | 166-695-   | 703          | May 2023         |                |                       | (give title belo              | (wc   | (specify below)                               |
| (Street)                                     | . Citizenship 6. If Amendment, Date of<br>Original (MonthYear)       |              |                  |                | Vice P                | resident                      | ÷   |   |
| Makati City, Metro Manila 1229               | FILIPIN  | 10           |                  |                |                       |                               |   |   |
| (City) (Province) (Postal Code)              |  |              |                  | Table 1 - Equi | ty Securitie          | s Beneficially Owned          |   |   |
| 1. Class of Equity Security                  | 2. Transaction 4. Securities Acquired (A) or Disposed of (D)<br>Date |              |                  |                | 3. Amount<br>of Month | of Securities Owned at End    | 4 Ownership Form:<br>Direct (D) or Indirect (I) * | 6. Nature of Indirect Beneficial<br>Ownership |
|  | (Month/Day/Year)   | Amount       | (A) or (D)       | Price          | %                     | Number of Shares              |   |   |
|  | Beginning Balance  |              |                  |                |                       | 66,539                        |   |   |
| Common shares                                | May 5, 2023  | 10,000       |                  | PHP 88.79      |                       | 00,000                        | D   | N/A   |
|  |  |              |                  |                |                       |                               |   |   |
|  |  |              |                  |                |                       |                               |   |   |
|  |  |              |                  |                |                       |                               |   |   |
|  |  |              |                  |                |                       |                               |   |   |
|  |  | Ending Ba    | alance           |                |                       | 76,539                        |   |   |

(Print or Type Responses)

If the change in beneficial ownership is 50% of the previous shareholdings or is equal to 5% of the outstanding capital stock of the issuer, provide the disclosure requirements set forth on page 3 of this form.

Reminder: Report on a separate line for each class of equity securities beneficially owned directly or indirectly.

(1) A person is directly or indirectly the beneficial owner of any equity security with respect to which he has or shares:

- (A) Voting power which includes the power to vote, or to direct the voting of, such security; and/or
- (B) Investment power which includes the power to dispose of, or to direct the disposition of, such security.

(2) A person will be deemed to have an indirect beneficial interest in any equity security which is: (A) held by members of a person's immediate family sharing the same household;

(A) held by members of a person's minediate tamay sharing the same nousent
 (B) held by a partnership in which such person is a general partner;

(B) held by a partnership in which such person is a general partner;
 (C) held by a corporation of which such person is a controlling shareholder; or

(D) subject to any contract, arrangement or understanding which gives such person voting power or investment power with respect to such security.

JANICE 4 TAN

### Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., warrants, options, convertible securities)

| 1. Derivative Security | 2. Conversion or<br>Exercise Price<br>of Derivative<br>Security | 3. Transaction<br>Date<br>(Month/Day/Yr) | Date       | <ol> <li>Number of Deriva<br/>Acquired (A) or Di</li> </ol> |                    | 5. Date<br>Exercisable and<br>Expiration Date<br>(Month/Day/Year) |                                  | 6. Title and<br>Underlying : |                 | 7. Price of<br>Derivative<br>Security | 8. No. of<br>Derivative<br>Securities<br>Beneficially<br>Owned at | 9. Owner-<br>ship Form<br>of Derivative<br>Security;<br>Direct (D) | 10. Nature<br>of Indirect<br>Beneficial<br>Ownership |
|------------------------|---|--|------------|---|--------------------|---|----------------------------------|------------------------------|-----------------|---------------------------------------|---|--|--|
|                        | N/A   | Amount                                   | (A) or (D) | Date Exercisable  | Expiration<br>Date | Title   | Amount or<br>Number<br>of Shares |                              | End of<br>Month | or<br>Indirect (I)                    |   |  |  |
| N/A                    |   |  |            |   |                    |   |                                  |                              |                 |                                       |   |  |  |
|                        |   |  |            |   |                    |   |                                  |                              |                 |                                       |   |  |  |
|                        |   |  |            |   |                    |   |                                  |                              |                 |                                       |   |  |  |
|                        |   |  |            |   |                    |   |                                  |                              |                 |                                       |   |  |  |
|                        |   |  |            |   |                    |   |                                  |                              |                 |                                       |   |  |  |
|                        |   |  |            |   |                    |   |                                  |                              |                 |                                       |   |  |  |
|                        |   |  |            |   |                    |   |                                  |                              |                 |                                       |   |  |  |
|                        |   |  |            |   |                    |   |                                  |                              |                 |                                       |   |  |  |
|                        |   |  |            |   |                    |   |                                  |                              |                 |                                       |   |  |  |
|                        |   |  |            |   |                    |   |                                  |                              |                 |                                       |   |  |  |
|                        |   |  |            |   |                    |   |                                  |                              |                 |                                       |   |  |  |
|                        |   |  |            |   |                    |   |                                  | -                            |                 |                                       |   |  |  |

Explanation of Responses:

Note: File one (1) copy of this form, which must be manually signed. Attach additional sheets if space provided is insufficient.

<u>3/3/2023</u> Date James U Jan JANICE U TAN

#### DISCLOSURE REQUIREMENTS

# IN CASE OF MATERIAL CHANGES IN BENEFICIAL OWNERSHIP

### (50% INCREASE/DECREASE OR EQUIVALENT TO 5% OF THE OUTSTANDING CAPITAL STOCK OF ISSUER)

#### Item 1. Security and Issuer

State the title of the class of equity securities to which this Form relates and the name and address of the principal executive offices of the issuer of such securities.

#### Hem 2 Identity and Background

If the person filing this Form is a corporation, partnership, syndicate or other group of persons, state its name, the province, country or other place of its organization, its principal business, the address of its principal office and the information required by (d) and (e) of this item. If the person filing this statement is a natural person, provide the information specified in (a) through (f) of this item with respect to such person(s).

- a. Name;
- b. Residence or business address;
- c. Present principal occupation or employment and the name, principal business and address of any corporation or other organization in which such employment is conducted;
- d. Whether or not, during the last five years, such person has been convicted in a criminal proceeding (excluding traffic violations or similar misdemeanors) and, if so, give the dates, nature of conviction, name and location of court, any penalty imposed, or other disposition of the case;
- e. Whether or not, during the last five years, such person was a pany to a civil proceeding of a judicial or administrative body of competent jurisdiction, domestic or foreign, and as a result of such proceeding was or is subject to any order, judgment or decree, not subsequently reversed, suspended or vacated, permanently or temporarily enjoining, barring, suspending or otherwise limiting involvement in any type of business, securities, commodities or banking; and
- f. Citizenship,

#### Item 3. Purpose of Transaction

State the purpose or purposes of the acquisition of securities of the issuer. Describe any plans or proposals which the reporting persons may have which relate to or would result in:

- a. The acquisition by any person of additional securities of the issuer, or the disposition of securities of the issuer,
- b. An extraordinary corporate transaction, such as a merger, reorganization or liquidation, involving the issuer or any of its subsidiaries;
- c. A sale or transfer of a material amount of assets of the lasuer or of any of its subsidiaries;
- d. Any change in the present board of directors or management of the issuer, including any plans or proposals to change the number or term of directors or to fill any existing vacancies on the board;
- e. Any material change in the present capitalization or dividend policy of the issuer;
- f. Any other material change in the issuer's business or corporate structure;
- g. Changes in the issuer's charter, bytaws or instruments corresponding therato or other actions which may impede the acquisition of control of the issuer by any person;
- h. Causing a class of securities of the issuer to be delisted from a securities exchange;
- I. Any action similar to any of those enumerated above.

#### Item 4. Interest in Securities of the Issuer

a. State the aggregate number and percentage of the class of securities identified pursuant to item 1 beneficially owned (identifying those shares which there is a right to acquire within thirty (30) days from the date of this report) by each person named in item 2. The abovementioned information should also be furnished with respect to persons who, together with any of the persons named in item 2, comprise a group.

- b. For each person named in response to paragraph (a), indicate the number of shares as to which there is sole power to vote or to direct the vote, shared power to vote or to direct the vote, sole or shared power to dispose or to direct the disposition. Provide the applicable information required by item 2 with respect to each person with whom the power to vote or to direct the vote, shared power to vote or to direct the vote, sole or shared power to vote or to direct the disposition.
- c. Describe any transaction in the class of securities reported on that were effected during the part sixty (80) days by the persons named in response to paragraph (a). The description shall include, but not necessarily be limited to: (1) the identity of the person who effected the transaction; (2) the date of the transaction; (3) the amount of securities involved; (4) the price person who effected the transaction; (2) the date of the transaction; (3) the amount of securities involved; (4) the price person who effected the transaction; (2) the date of the transaction; (3) the amount of securities involved; (4) the price person who effected the transaction; (3) the transaction; (4) the price person who effected the transaction; (b) days by the person who effected the transaction; (4) the price person who effected the transaction; (3) the amount of securities involved; (4) the price person who effected the transaction; (3) the amount of securities involved; (4) the price person who effected the transaction; (3) the amount of securities involved; (4) the price person who effected the transaction; (3) the amount of securities involved; (4) the price person who effected the transaction; (3) the amount of securities involved; (4) the price person who effected the transaction; (3) the amount of securities involved; (4) the price person who effected the transaction; (3)
- d. If any other person is known to have the right to receive or the power to direct the receipt of dividends from, or the proceeds from the sale of such securities, a statement to that effect should be included in response to this item and, if such interest relates to more than five (6%) percent of the class, such person should be included.
- e. It the filling is an amendment reflecting the fact that the reporting person has ceased to be the beneficial owner of more then five (5%) percent of the class of securities, state the date on which such beneficial ownership was reduced.

#### Item 5. Contracts, Arrangements, Understandings or Relationships with Respect to Securities of the Issuer

Describe any contract, arrangement, understanding or relationship among the person named in item 2 and between such persons and any person with respect to any securities of the issue, including but not limited to transfer or voting of any of the securities, finder's fees, joint ventures, loan or option arrangements, puts or cells, guarantees of profits, division of profits or loas, or the giving or withholding of provies, naming the person with whom such contracts, arrangements, understandings or relationships have been entered into. Include such information for any of the securities that are pledged or otherwise subject to a contingency the occurrence of which would give another person voting power or investment power over such securities except that disclosure of standard default and similar provisions contained in loan agreements need not be included.

#### Item 6. Material to be Filed as Exhibits

- Copies of all written agreements, contracts, arrangements, understandings, plans or proposals relating to:
- a. the acquisition of Issuer control, liquidation, sale of assets, merger, or change in business or corporate structure or any other matter as disclosed in Item 3; and
- b. the transfer or voting of the securities, finder's fees, joint ventures, options, puts, calls, guarantees of loans, guarantees against losses or the giving or withholding of any proxy as disclosed in ham 5.

After reasonable inquiry and to the best of my knowledge and belief, I certify that the information set forth in this Report is true, complete and accurate. This report is signed in the City of Makati. on May 3, 2023.

By: Januce W. Jan (ggnature of Reporting Person) VANICE U. TAN / UP (Name/Title)

# BANK OF THE PHILIPPINE ISLANDS\_SEC FORM 23-B\_08MAY2023

# **BPI** Corporate Secretary

Mon 5/8/2023 6:09 PM To: ICTD Submission <ictdsubmission@sec.gov.ph> Cc: Emeliana Elisa F. Navarro <efnavarro@bpi.com.ph>;Lean P. Batalla <lpbatalla@bpi.com.ph> Bcc: Shiena Angela D. Aquino <sadaquino@bpi.com.ph>

1 attachments (3 MB) BANK OF THE PHILIPPINE ISLANDS\_SEC FORM 23-B\_08MAY2023.pdf;

Good day!

We are sending herewith Batch 2 of Statement of Changes in Beneficial Ownership of Securities on account of ESPP subscriptions of various Senior Officers:

- 1. Barroquillo, Ericson Hernando
- 2. Gasmen, Dino Rudyardo
- 3. Iringan, Ariel Matalang
- 4. Lee, Eugene Ong
- 5. Mercado, Arlene David
- 6. Tan, Janice Uy

Thank you.

BPI Office of the Corporate Secretary Lean P. Batalla Tel. No. +632-8-2465885 email add: corporate.secretary@bpi.com.ph

# Re: BANK OF THE PHILIPPINE ISLANDS\_SEC FORM 23-B\_08MAY2023

# ICTD Submission < ictdsubmission + canned.response@sec.gov.ph>

Mon 5/8/2023 6:09 PM

To: BPI Corporate Secretary <corporate.secretary@bpi.com.ph>

Thank you for reaching out to <u>ictdsubmission@sec.gov.ph</u>. Your submission is subject for Verification and Review of the Quality of the Attached Document only for **Secondary Reports**. Official copy of the submitted document/report with Barcode Page (Confirmation Receipt) will be made available after 7 working days via order from receipt through the SEC Express System at <u>https://secexpress.ph/</u>. Or you may call 8737-8888 for further clarifications.

# NOTICE

Please be informed that selected reports should be filed through <u>ELECTRONIC FILING AND SUBMISSION</u> <u>TOOL (EFAST)</u>. <u>https://cifss-ost.sec.gov.ph/user/login</u>

such as: AFS, GIS, GFFS, LCFS, LCIF, FCFS. FCIF, IHFS, BDFS, PHFS etc. ANO, ANHAM, FS-PARENT, FS-CONSOLIDATED, OPC\_AO, AFS WITH NSPO FORM 1,2,3 AND 4,5,6, AFS WITH NSPO FORM 1,2,3 (FOUNDATIONS)

Further, pursuant to SEC Memorandum Circular No. 3, series of 2021, scanned copies of the printed reports with wet signature and proper notarization shall be filed in **PORTABLE DOCUMENT FORMAT** (**PDF**) Secondary Reports such as:

17-A, 17-C, 17-L, 17-Q, ICASR, ICA-QR, ICA-AR, 23-A, 23-B, I-ACGR, ACGR, Monthly Reports, Quarterly Reports, Letters, OPC(ALTERNATE NOMINEE), GIS-G, 52-AR, IHAR, AMLA-CF, NPM, NPAM, BP-FCLC, CHINESEWALL, 39-AR, 36-AR, PNFS, MCG, S10/SEC-NTCE-EXEMPT, through email at

# ictdsubmission@sec.gov.ph

FOR MC28, please go to SEC website:

https://apps010.sec.gov.ph

For your information and guidance.

Thank you and keep safe.